

# PATENT

In re application of:                 :                 Leslie Smith

For   :                 HIGH-AVAILABILITY ARCHITECTURE USING HIGH-SPEED PIPES

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

## **INFORMATION DISCLOSURE STATEMENT**

Pursuant to the duty of disclosure under 37 C.F.R. § 1.56, Applicant submits this statement. This submittal is made in accordance with 37 C.F.R. §§ 1.97 and 1.98 and § 609 of the Manual of Patent Examining Procedure. The patents, publications and other information herein are listed below and on the attached Form PTO/SB/08. In accordance with 37 C.F.R. §1.98(d), these references were previously cited by the Office in prior application Serial No. 09/585,577, and therefore copies of the cited references are not required.

DOCKET NO. 10327SSUS02C (NORT10-00358)  
CONTINUATION PATENT

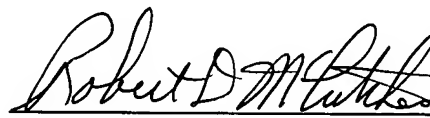
<u>U.S. Patent No.</u>	<u>Inventor</u>	<u>Date</u>
5,951,695	Kolovson	Sep. 14, 1999
5,974,114	Blum, et al.	Oct. 26, 1999
6,115,829	Slegel, et al.	Sep. 5, 2000
6,205,557	Chong, et al.	Mar. 20, 2001
6,263,363	Rosenblatt, et al.	July 17, 2001
6,298,457	Rachlin, et al.	Oct. 2, 2001
6,363,497	Chrabaszcz	Mar. 26, 2002
6,378,021	Okazawa, et al.	Apr. 23, 2002

Applicant hereby expressly reserves the right to swear behind the effective dates of any of the above Patents and to question the relevance and materiality of the Patents and Publications listed herein, in whole, in part, or in combination, subsequent to filing this Information Disclosure Statement.

Respectfully submitted,

DAVIS MUNCK, P.C.

Date: 10/23/2003

  
Robert D. McCutcheon  
Registration No. 38,717

P.O. Drawer 800889  
Dallas, Texas 75380  
Phone: (972) 628-3600  
Fax: (972) 628-3616  
email: [rmccutcheon@davismunck.com](mailto:rmccutcheon@davismunck.com)

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449/PTO

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

**Complete if Known**

Application Number	
Filing Date	
First Named Inventor	Leslie Smith
Art Unit	
Examiner Name	
Attorney Docket Number	10327SSUS02C (NORT10-00359)

Sheet 1 of 1

**U. S. PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code <sup>2</sup> (if known)			
	AA	US- 5,951,695	09/14/1999	Kolovson	
	AB	US- 5,974,114	10/26/1999	Blum et al	
	AC	US- 6,115,829	09/05/2000	Slegel et al	
	AD	US- 6,205,557	03/20/2001	Chong et al	
	AE	US- 6,263,363	07/17/2001	Rosenblatt et al	
	AF	US- 6,298,457	10/02/2001	Rachlin et al	
	AG	US- 6,363,497	03/26/2002	Chrabaszcz	
	AH	US- 6,378,021	04/23/2002	Okazawa et al	
		US-			
		US-			
		US-			
		US-			
		US-			
		US-			
		US-			
		US-			
		US-			
		US-			
		US-			
		US-			
		US-			

**FOREIGN PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> (if known)				

Examiner  
SignatureDate  
Considered

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.